

**INFORMATION DISCLOSURE STATEMENT**

Applicant : Erik J. van der Burg et al.  
App. No. : 10/674,553  
Filed : September 30, 2003  
For : METHOD AND DEVICE FOR LEFT  
ATRIAL APPENDAGE OCCLUSION  
Examiner : Unknown  
Group Art Unit : 3731

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

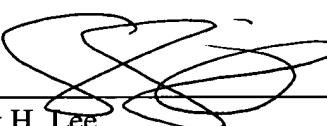
Enclosed is form PTO-1449 listing twenty-nine (29) references. Copies of disclosed U.S. patents and/or publications are not included pursuant to PTO waiver of the requirement under 37 C.F.R. § 1.98(a)(2)(i) for applications filed after June 30, 2003. Copies of other references, if listed, are enclosed.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 2-6-04 By: \_\_\_\_\_

  
Sabing H. Lee  
Registration No. 43,745  
Attorney of Record  
Customer No. 20,995  
(949) 760-0404

<p style="text-align: center;">O I P U.S. PATENT &amp; TRADEMARK OFFICE FEB 09 2004 INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)</p>		ATTY. DOCKET NO. MVMINC.1CP1C3	APPLICATION NO. 10/675,553
		APPLICANT Erik J. van der Burg et al.	
		FILING DATE September 30, 2003	GROUP 3731

## U.S. PATENT DOCUMENTS

EXAMINE R INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1.	5,071,407	12/10/92	Termin et al.			
	2.	5,108,418	04/28/92	Lefebvre			
	3.	5,108,474	04/28/92	Riedy et al.			
	4.	5,397,355	03/14/95	Marin et al.			
	5.	6,007,557	12/28/99	Ambrisco et al.			
	6.	6,096,053	08/01/00	Bates			
	7.	6,124,523	09/26/02	Banas et al.			
	8.	6,231,589	05/15/01	Wessman et al.			
	9.	6,371,971	04/16/02	Tsugita et al.			
	10.	6,443,972	09/03/02	Bosma et al.			
	11.	6,468,291	10/22/02	Bates et al.			
	12.	6,652,555	11/25/03	Van Tassel et al.			
	13.	6,652,556	11/25/03	Van Tassel et al.			
	14.	US 2001/0034537 A1	10/25/01	Shaw et al.			
	15.	US 2002/0062133 A1	05/23/02	Gilson et al.			
	16.	US 2002/0099439 A1	07/25/02	Schwartz et al.			
	17.	US 2002/0138094 A1	09/26/02	Borillo et al.			
	18.	US 2002/0138097 A1	09/26/02	Ostrovsky et al.			
	19.	US 2003/0023262 A1	01/30/03	Welch			
	20.	US 2003/0023266 A1	01/30/03	Borillo et al.			
	21.	US 2003/0057156 A1	03/07/03	Peterson et al.			
	22.	US 2003/0120337 A1	06/26/03	Van Tassel et al.			
	23.	US 20030191526 A1	10/09/03	Van Tassel et al.			

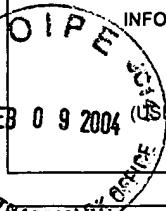
## FOREIGN PATENT DOCUMENTS

EXAMINE R INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
					YES	NO	

EXAMINER

DATE CONSIDERED

\*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. MVMDINC.1CP1C3	APPLICATION NO. 10/675,553
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>   <b>FEB 09 2004</b> (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Erik J. van der Burg et al.	
		FILING DATE September 30, 2003	GROUP 37B1

## FOREIGN PATENT DOCUMENTS

EXAMINE R INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
				YES	NO		

EXAMINE R INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
24.	Copending U.S. Patent Application No. 09/435,562, filed on November 11, 1999, in the name of Erik J. Van Der Burg et al.; a Restriction Requirement mailed December 11, 2001, Response to Restriction Requirement filed January 10, 2002, an Office Action mailed May 30, 2002, an Amendment filed October 11, 2002, an Office Action mailed January 2, 2003, a Preliminary Amendment filed July 2, 2003, and an Office Action mailed September 5, 2003. <b>DOCUMENTS WERE PREVIOUSLY SUBMITTED WITH INITIAL FILING ON SEPTEMBER 30, 2003.</b> Amendment filed 11/3/03 and an Office Action mailed January 30, 2003 <b>ARE ENCLOSED.</b> U.S. Application No. 09/435,562 has been assigned to Examiner Glenn K. Dawson.	
25.	Copending U.S. Patent Application No. 10/033,371, filed on October 19, 2001, in the name of Alexander K. Khairkhahan et al.; U.S. Patent Application Publication No. US 2002/0111647 A1, published August 15, 2002; a Restriction Requirement mailed January 6, 2003, a Response to Restriction Requirement filed May 5, 2003, an Office Action mailed May 23, 2003, and Amendment filed August 20, 2003. <b>DOCUMENTS WERE PREVIOUSLY SUBMITTED WITH INITIAL FILING ON SEPTEMBER 30, 2003.</b> Office Action mailed 11/14/03 <b>IS ENCLOSED.</b> U.S. Application No. 10/033,371 has been assigned to Examiner Glenn K. Dawson.	
26..	Copending U.S. Patent Application No. 10/364,910, filed on February 11, 2003, in the name of Erik J. Van Der Burg et al.; a Preliminary Amendment, filed July 24, 2003. U.S. Application No. 10/364,910 has been not yet been assigned to an Examiner. <b>DOCUMENTS WERE PREVIOUSLY SUBMITTED WITH INITIAL FILING ON SEPTEMBER 30, 2003.</b>	
27	Copending U.S. Patent Application No. 10/641,818, filed on August 15, 2003, in the name of Erik J. Van Der Burg et al. U.S. Application No. 10/641,818 has been not yet been assigned to an Examiner. <b>DOCUMENTS WERE PREVIOUSLY SUBMITTED WITH INITIAL FILING ON SEPTEMBER 30, 2003.</b>	

EXAMINER	DATE CONSIDERED
<small>*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.</small>	

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. MVMDINC.1CP1C3	APPLICATION NO. 10/675,553
INFORMATION DISCLOSURE STATEMENT BY APPLICANT			
FEB 09 2004 (USE SEVERAL SHEETS IF NECESSARY)			
EXAMINER INITIAL		OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
28.		Copending U.S. Patent Application No. 10/441,492, filed on May 19, 2003, in the name of Alexander K. Khairkhahan et al. <b>DOCUMENTS WERE PREVIOUSLY SUBMITTED WITH INITIAL FILING ON SEPTEMBER 30, 2003.</b> Office Action mailed 12/22/03 IS ENCLOSED. U.S. Application No. 10/441,492 has been not yet been assigned to an Examiner.	
29.		Copending U.S. Patent Application No. 10/441,468, filed on May 19, 2003, in the name of Alexander K. Khairkhahan et al. U.S. Application No. 10/441,468 has been not yet been assigned to an Examiner. <b>DOCUMENTS WERE PREVIOUSLY SUBMITTED WITH INITIAL FILING ON SEPTEMBER 30, 2003.</b>	

SHL-9015.DOC:sls  
020604

EXAMINER	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	